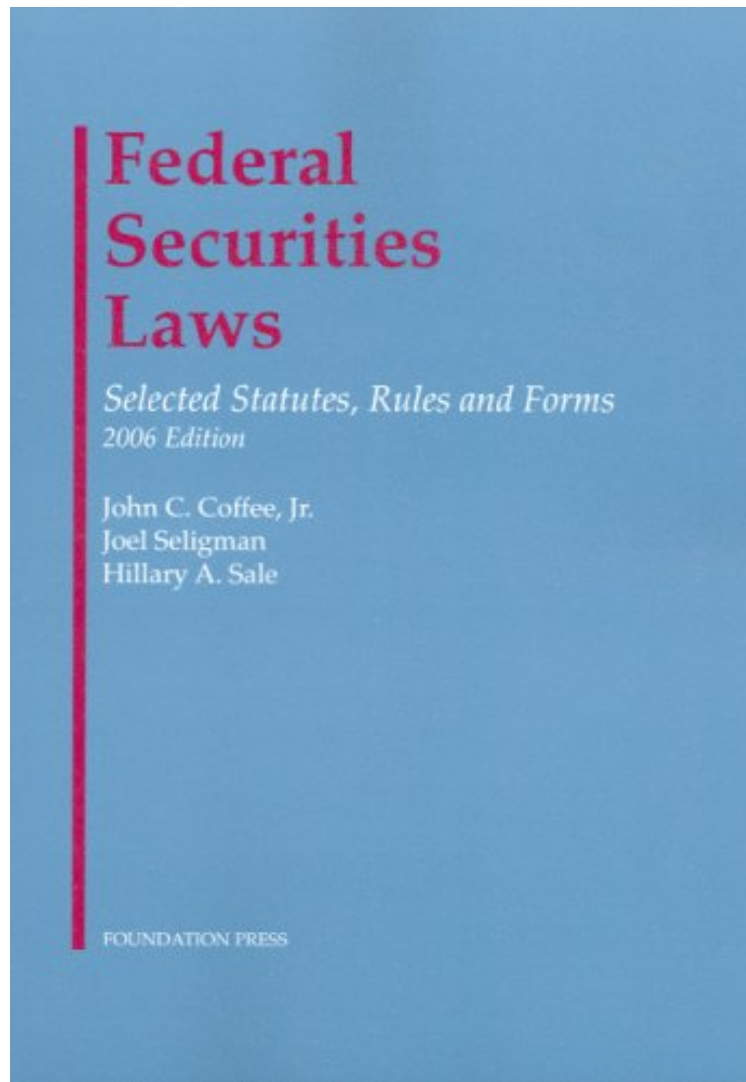


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This volume serves as a federal securities laws supplement, providing selected statutes, rules, and forms. Contents include the Securities Act of 1933, Security Exchange Act of 1934, Investment Company Act of 1940, Investment Advisers Act of 1940, SEC Rules of Practice, related federal laws, and state securities laws. Also includes early 2002

amendments to the Securities Act of 1933 and the Securities Exchange Act of 1934. The Revised 2005 Edition of Federal Securities Laws: Selected Statutes, Rules and Forms follows the format of earlier years. Early in 2002 Congress changed fee provisions in Section 6(b) of the Securities Act of 1933 and amended Section 4(b), 13(f), 14(g), and 31 of the Securities Exchange Act of 1934 to address fees and SEC expenditures. Rule 135b of the Securities Act of 1933 was amended as were Regulations S-B and S-K Items 201 and 601. There were several Rules added or amended in the Securities Exchange Act. These included Rules 3a4-2, 3a4-3, 3a4-5, 3a4-6, 3a5-1, 3a55-1, 3a55-2, 3a55-3, 3b-17, 3b-18, 6a-3, 6a-4, 14a-3, 15a-7, 15a-8, 15a-9, 15a-10, 15b2-2, 15b11-1, 17a-3, 17a-4, 17a-25, 17AD-7, 19b-4, 19b-7, and 31-1. In addition, Rule 303 of Regulation ATS was amended as were Item 12 of Form 10-K and Item 11 of Form 10-KSB. There are also amendments to Investment Company Act Rules 2a-7, 5b-3 and 31a-2 as well as Rule 204-2 of the Investment Advisers Act and Rule 202.3 of SEC Rules of Practice.