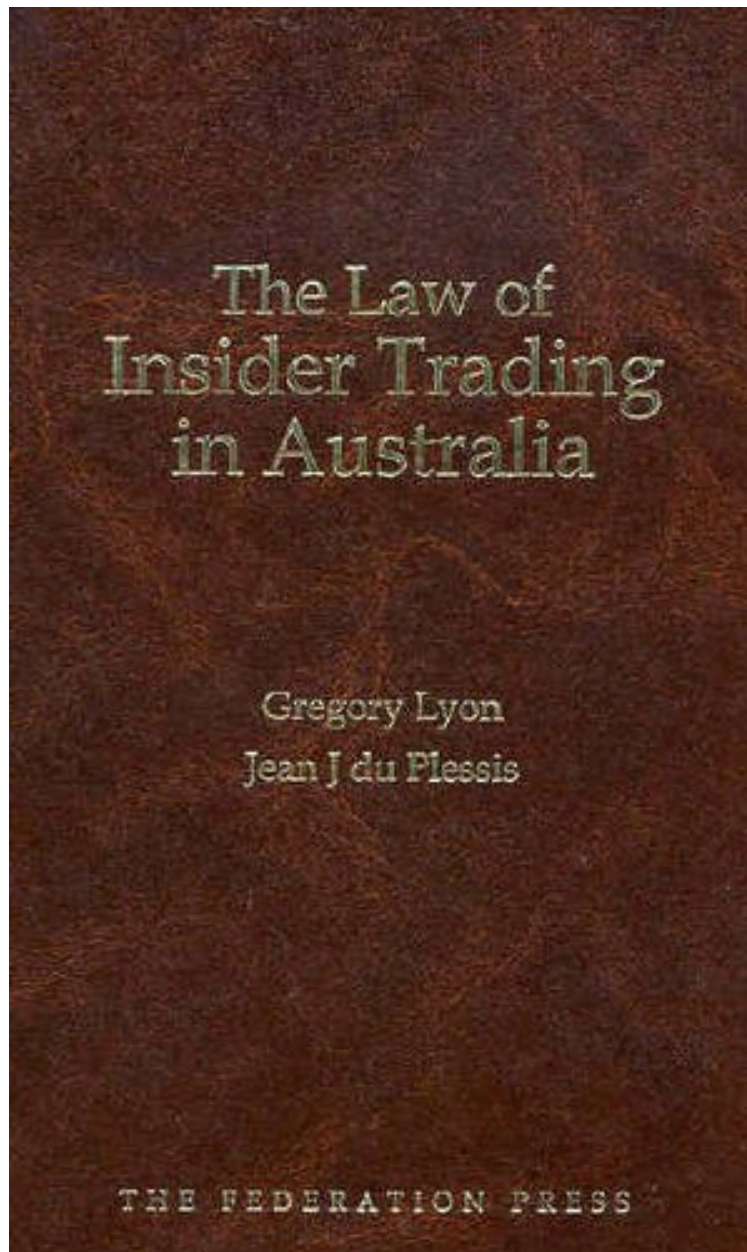


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The Law of Insider Trading in Australia

Gregory Lyon, Jean Du Plessis

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Gregory Lyon, Jean Du Plessis : The Law of Insider Trading in Australia before purchasing it in order to gage whether or not it would be worth my time, and all praised The Law of Insider Trading in Australia:

Provides a detailed and practical analysis of Australian Insider Trading Laws. This book provides a detailed examination of the defences and exceptions, with particular attention to the operation of Chinese Walls.

A concise but comprehensive introduction describes the contextual framework within which the insider trading prohibition exists. It outlines the development of the relevant legislation and explains the legislative and regulatory background to the law as it currently stands. It makes reference to the reports of various legislative and law reform bodies, as well as other secondary materials such as Explanatory Memoranda. The bulk of the book comprises four main chapters, which explain the current provisions regulating insider trading, the exceptions and defences to the prohibition, penalties and remedies, and matters regarding enforcement and continuous disclosure. ... The main chapters are comprehensive and well written. Rather than separating academic and practical aspects, every part of the commentary refers directly to the relevant theoretical background and secondary material. As a result of this, the reader can readily find most of the relevant theoretical and practical information about each point, without spending too much time cross-referencing. This structure adds greatly to the usefulness of the book. The Law of Insider Trading in Australia is a work of academic and practical merit. It will prove an indispensable reference to anybody faced with a question or problem concerning insider trading. - SJ Maiden, Australian Business Law , (2006) 34 ABLR 466 The obvious thoroughness of the research that underpins the legal propositions and policy matters that are discussed, along with the precise yet accessible nature of the manner in which the authors convey this information to the reader, mean that it is easy to recommend this book to all law students, academics, practitioners and judicial officers who are looking for a comprehensive reference work on the laws prohibiting insider trading in Australia. - Stephen Knight, (2006) 26 Qld Lawyer The Law of Insider Trading in Australia comprehensively examines and explains each element of the insider trading offence. ... Relevant case law, statutory provisions and academic commentary are reviewed in detail. Informative analyses of recent cases ... are included. The impact of potential law reform proposals, such as those which have emanated from the Corporations and Markets Advisory Committee are also discussed. ... Most interestingly the relationship between insider trading laws and continuous disclosure obligations is examined in significant detail. This is a very well-considered chapter of the book, addressing the role of the Australian Stock Exchange in detecting and acting upon suspected insider trading activity, examining Australian continuous disclosure rules, as well as reviewing and discussing the perceived difficulties in successfully enforcing insider trading laws. ... I recommend this book very highly. ... It is not a lengthy read, but the subject matter is addressed clearly and concisely presented in a well ordered and thoughtful style. It provides easy-to-read information about insider trading which will assist those who are only vaguely familiar with this offence, but more complex aspects of insider trading are also considered in significant detail for more demanding readers. - Juliette Overland, Company Securities Law Journal Vol 24, 2006 A concise but comprehensive introduction describes the contextual framework within which the insider trading prohibition exists. It outlines the development of the relevant legislation and explains the legislative and regulatory background to the law as it currently stands. It makes reference to the reports of various legislative and law reform bodies, as well as other secondary materials such as Explanatory Memoranda. The bulk of the book comprises four main chapters, which explain the current provisions regulating insider trading, the exceptions and defences to the prohibition, penalties and remedies, and matters regarding enforcement and continuous disclosure. ... The main chapters are comprehensive and well written. Rather than separating academic and practical aspects, every part of the commentary refers directly to the relevant theoretical background and secondary material. As a result of this, the reader can readily find most of the relevant theoretical and practical information about each point, without spending too much time cross-referencing. This structure adds greatly to the usefulness of the book. ... The Law of Insider Trading in Australia is a work of academic and practical merit. It will prove an indispensable reference to anybody faced with a question or problem concerning insider trading. - (2006) 34 ABLR 466 Rarely have two authors had a publication as timely as The Law of Insider Trading in Australia. Virtually every journalist would have required or should have acquired a copy of this text to assist them in the many and varied issues that arose in the recent Vizard action. ... The authors are to be commended for providing such a readable guide through the quite difficult provisions that make up the insider trading regime in Australia. The text will certainly become essential reading for lawyers involved in the area, directors who may be tempted to profit from such information, and journalists. - Mark Harrick, Law Institute (Victoria) Journal, Vol 79.10, October 2005 For anyone still confused by the ins and outs of the Steve Vizard case, a must-read book was released this week, just days before Vizard's civil case begins Federal Court. The Law of Insider Trading in Australia, by Gregory Lyon and Jean Jacques du Plessis (The Federation Press 2005) could become the Harry Potter of the legal world, although co-author du Plessis says the timing is just a "happy coincidence." - crikey.com.au, 19 July 2005 According to the foreword provided by MEJ Black AC, the Chief Justice of the Federal Court of Australia, the authors have "produced a scholarly work of great value which addresses pressing questions about Australia's insider trading laws." Even the most cursory consideration of the work serves to confirm the views expressed by the Chief Justice. ... This book makes an outstanding contribution to the body of corporations related texts in the country and is likely to become the pre-eminent source of reference for the law of insider trading in this country. It will be of considerable interest and assistance to students, academics and practitioners and individuals

involved in law enforcement. - Anthony Lo Surdo, Barrister, Australian Banking and Finance Law Bulletin, Vol 21
No 3, August 2005